



ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **IOL Chemicals and Pharmaceuticals Limited**

2. Quarter ending - **31-Mar-2023**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Rajender Mohan Malla	00136657	ID,C & NED		06-Feb-2019	06-Feb-2022		49.26	15-May-1953	No	NA	4	4	5	4	NRC
Mr.	Varinder Gupta	00044068	ED	MD	13-Nov-1986	01-Sep-2018			06-Dec-1962	No	NA	1	0	1	0	SC
Mr.	Vikas Gupta	07198109	ED		29-May-2015	29-May-2020			13-Feb-1992	No	NA	1	0	2	0	AC,SC,RC
Mr.	Sanjay Chaturvedi	08927689	ED	CEO	30-May-2022	30-May-2022			15-Jan-1970	No	NA	1	0	0	0	RMC
Mr.	Kushal Kumar Rana	09189020	ED		04-Jun-2021	04-Jun-2021			15-Sep-1969	No	NA	1	0	0	0	RMC
Mrs.	Sandhya Mehta	06954964	ID		22-Aug-2014	22-Aug-2019		103.10	25-Mar-1968	No	NA	1	1	2	1	AC,SC,RC,NRC
Mr.	Harpal Singh	06658043	ID		06-Feb-2019	06-Feb-2022		49.26	17-Sep-1955	No	NA	1	1	1	1	AC,RC,NRC
Mr.	Sharad Tyagi	00371842	ID		30-May-2022	30-May-2022		10.02	01-Nov-1961	No	NA	1	1	2	0	AC,SC

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No



ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Harpal Singh	ID	Chairperson	06-Feb-2019	
2	Sandhya Mehta	ID	Member	06-Feb-2019	
3	Vikas Gupta	ED	Member	06-Feb-2019	
4	Sharad Tyagi	ID	Member	09-Aug-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Sandhya Mehta	ID	Chairperson	06-Feb-2019	
2	Varinder Gupta	ED	Member	06-Feb-2019	
3	Vikas Gupta	ED	Member	06-Feb-2019	
4	Sharad Tyagi	ID	Member	09-Aug-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes



c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Harpal Singh	ID	Chairperson	10-Feb-2021	
2	Sandhya Mehta	ID	Member	10-Feb-2021	
3	Vikas Gupta	ED	Member	10-Feb-2021	
4	Sanjay Chaturvedi	ED	Member	30-May-2022	
5	Kushal Kumar Rana	ED	Member	10-Feb-2021	
6	Pardeep Kumar Khanna	Chief Financial Officer	Member	10-Feb-2021	

Company Remarks	
Whether Regular chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Sandhya Mehta	ID	Chairperson	06-Feb-2019	
2	Rajender Mohan Malla	ID,C & NED	Member	06-Feb-2019	
3	Harpal Singh	ID	Member	06-Feb-2019	

Company Remarks	
Whether Regular chairperson appointed	Yes



iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
14-Nov-2022	Yes	8	8	4
07-Feb-2023	Yes	8	7	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	84

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	14-Nov-2022	Yes	4	4	3	0
Audit Committee	07-Feb-2023	Yes	4	4	3	0
Stakeholders Relationship Committee	14-Nov-2022	Yes	4	4	2	0
Stakeholders Relationship Committee	07-Feb-2023	Yes	4	3	2	0
Risk Management Committee	14-Nov-2022	Yes	5	5	2	1
Risk Management Committee	07-Feb-2023	Yes	5	5	2	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	84



V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Abhay Raj Singh**
Designation : **Company Secretary & Compliance Officer**



ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.iolcp.com/business/business-overview
Terms and conditions of appointment of independent directors	Yes		www.iolcp.com/about-us/policies
Composition of various committees of board of directors	Yes		www.iolcp.com/investors/corporate-
Code of conduct of board of directors and senior management personnel	Yes		www.iolcp.com/uploads/Code-of-conduct-for-
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.iolcp.com/uploads/Whistle-Blower-
Criteria of making payments to non-executive directors	Yes		www.iolcp.com/uploads/Nomination-and-
Policy on dealing with related party transactions	Yes		www.iolcp.com/uploads/Policy-on-materiality-
Policy for determining 'material' subsidiaries	Yes		www.iolcp.com//uploads/Policy%20for%20dete
Details of familiarization programs imparted to independent directors	Yes		www.iolcp.com/uploads/Familiarisation-
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances	Yes		www.iolcp.com/investors/services
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances other relevant details	Yes		www.iolcp.com/investors/services
Financial results	Yes		www.iolcp.com/investors/financial-
Shareholding pattern	Yes		www.iolcp.com/investors/shareholding-pattern
Details of agreements entered into with the media companies and/or their associates	Yes		www.iolcp.com//uploads/Disclosure16-9-2022.pdf
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.iolcp.com/investors/events
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Yes		www.iolcp.com/investors/publication-
Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments obtained	Yes		www.iolcp.com/uploads/Credit-Rating4-7-2022.pdf



Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Not Applicable		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.iolcp.com/investors/overview
Materiality Policy as per Regulation 30	Yes		www.iolcp.com/uploads/Policy-on-
Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.iolcp.com/uploads/Dividend-Distribution-
It is certified that these contents on the website of the listed entity are	Yes		

II Annual Affirmations			
Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	



<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	21(3A)	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7) & (8)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	



<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent Directors</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : **Abhay Raj Singh**
Designation : **Company Secretary & Compliance Officer**



ANNEXURE IV

%symbol%	%companyName%	%quarterEnded
IOLCP	IOL Chemicals and Pharmaceuticals Limited	31 st March 2023

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
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(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	-		
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			



(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	-		
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>

Company Remarks in case of non-compliant status	No loan, guarantee, comfort letter or securities provide by the Company to Promoter, Promoter Group, Directors, KMPs or any other entity controlled by them
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Name: Pardeep Kumar Khanna Designation: Chief Financial Officer Place: Ludhiana Date: 18-Apr-2023
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