



**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - IOL Chemicals and Pharmaceuticals Limited
2. Quarter ending - 30-Sep-2021

**i. Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson / Executive/Non-Executive/Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairpersons on Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Rajender Mohan Malla	00136657	AAJPM3136E	ID,C & NED		06-Feb-2019	06-Feb-2019		36	15-May-1953	NA		2	2	0	0	NRC	
Mr.	Varinder Gupta	00044068	ADDPG0214G	ED	MD	13-Nov-1986	01-Sep-2018		60	06-Dec-1962	NA		1	0	1	0	SC	
Mr.	Vikas Gupta	07198109	AVDPG1061D	ED		29-May-2015	29-May-2020		60	13-Feb-1992	NA		1	0	2	0	AC,SC,RC	
Mr.	Kushal Kumar Rana	09189020	AEJPR6580F	ED		04-Jun-2021	04-Jun-2021		36	15-Sep-1969	NA		1	0	0	0	RMC	
Mrs.	Sandhya Mehta	06954964	AIYPM6758P	ID		22-Aug-2014	22-Aug-2019		60	25-Mar-1968	NA		1	1	1	1	AC,SC,RC,NRC	
Mr.	Harpal Singh	06658043	AGEPS8167L	ID		06-Feb-2019	06-Feb-2019		36	17-Sep-1955	NA		1	1	0	1	AC,RC,NRC	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

**ii. Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Harpal Singh	ID	Chairperson	06-Feb-2019	
2	Sandhya Mehta	ID	Member	06-Feb-2019	
3	Vikas Gupta	ED	Member	01-Apr-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sandhya Mehta	ID	Chairperson	06-Feb-2019	
2	Varinder Gupta	ED	Member	06-Feb-2019	
3	Vikas Gupta	ED	Member	06-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Harpal Singh	ID	Chairperson	10-Feb-2021	
2	Sandhya Mehta	ID	Member	10-Feb-2021	
3	Vikas Gupta	ED	Member	10-Feb-2021	
4	Kushal Kumar Rana	ED	Member	10-Feb-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sandhya Mehta	ID	Chairperson	06-Feb-2019	
2	Rajender Mohan Malla	ID,C & NED	Member	06-Feb-2019	
3	Harpal Singh	ID	Member	06-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes



# IOL CHEMICALS AND PHARMACEUTICALS LIMITED

### iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
04-Jun-2021	13-Aug-2021	Yes	6	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	69

### iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	04-Jun-2021		Yes	3	2
Audit Committee		13-Aug-2021	Yes	3	2
Nomination & Remuneration Committee	04-Jun-2021		Yes	3	3
Nomination & Remuneration Committee		13-Aug-2021	Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	69

### v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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### VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Abhay Raj Singh**  
 Designation : **Company Secretary & Compliance Officer**



**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : **Abhay Raj Singh**  
**Designation** : **Company Secretary & Compliance Officer**



**IOL CHEMICALS AND PHARMACEUTICALS LIMITED**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**



## IOL CHEMICALS AND PHARMACEUTICALS LIMITED

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### Affirmations

*All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company*

<b>Company Remarks in case of non-compliant status</b>	
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<b>Name:</b> Pardeep Kumar Khanna <b>Designation:</b> Chief Financial Officer <b>Place:</b> Ludhiana <b>Date:</b> 14-Oct-2021
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