



IOL CHEMICALS AND PHARMACEUTICALS LIMITED

25th April 2023
IOLCP/CGC/2023

National Stock Exchange of India Ltd.
Exchange Plaza,
Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051
Security Symbol: IOLCP

BSE Limited,
Pheroze Jeejeebhoy Tower,
Dalal Street,
Mumbai- 400 001
Security Code : 524164

Subject: Annual Secretarial Compliance Report for the year ended 31st March 2023.
Ref: CIR/CFD/CMDI/27/2019 dated: 08 February 2019.

Dear Sir,

We are enclosing herewith Annual Secretarial Compliance Report issued by M/s B.K. Gupta & Associates, Company Secretaries in compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March 2023.

This is for your information & record.

Thanking You,

Yours faithfully,
For IOL Chemicals and Pharmaceuticals Limited

Abhay Raj Singh
Vice President & Company Secretary



- (a) The listed entity has complied with the provisions of the above Regulations and Circulars/ guidelines issued thereunder, except in respect of matters specified below:
- NIL

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action taken by	Type of Action	Details of violation	Fine Amount	Observations / remarks of the Practicing Company Secretary, if any.	Management Response	Remarks
NIL										

- (b) The listed entity has taken the following actions to comply with the observations made in previous reports: **No observation were made in the previous report.**

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action taken by	Type of Action	Details of violation	Fine Amount	Observations / remarks of the Practicing Company Secretary, if any.	Management Response	Remarks
N.A.										

Further we have examined:

Sr. No.	Particulars	Compliance Status (Yes/No /NA)	Observations / Remarks by PCS*
1.	<u>Secretarial Standards:</u> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).s	Yes	None




2.	<u>Adoption and timely updation of Policies :</u> <ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities; All the policies are in conformity with SEBI Regulations and has been reviewed and timely updated as per the regulations/circulars/guidelines issued by SEBI. 	Yes	None
3.	<u>Maintenance and Disclosure on Website:</u> <ul style="list-style-type: none"> The Listed entity is maintaining a functional website; Timely dissemination of documents/information under a separate section on website; Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of website. 	Yes	None
4.	<u>Disqualification of Director:</u> None of the directors of the company are disqualified under Section 164 of Companies Act, 2013.	Yes	None
5.	<u>To examine details related to subsidiaries of listed entities:</u> (a) Identification of material subsidiaries companies.	Yes	None
	(b) Requirements with respect to disclosure of material as well as other subsidiaries.	Yes	None
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of Preservation of Documents and Archival policy prescribed under SEBI (LODR) Regulation, 2015.	Yes	None
7.	<u>Performance Evaluation:</u> The Listed entity has conducted performance of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	Yes	None
8.	<u>Related Party Transactions:</u> (a) The Listed Entity has obtained prior approval of Audit Committee for all related party transaction.	Yes	None
	(b) In case no prior approval obtained, the listed entity shall provide details reason with confirmation whether the transaction were subsequently approved/ ratified/ rejected/ by the Audit Committee.	N.A.	None



9.	<u>Disclosure of events or information:</u> The Listed Entity has provided all the required(s) under regulation 30 along with Schedule III of SEBI (LODR) Regulations, 2015 with time limit prescribed thereunder.	Yes	None
10.	<u>Prohibition of Insider Trading:</u> The Listed Entity is in compliance with regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulation 2015.	Yes	None
11.	<u>Action taken by SEBI or Stock Exchange(s), if any:</u> No action taken against the Listed Entity/its promoters/directors/subsidiaries either by SEBI or by Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulation and circulars/ guidelines issued thereunder.	N.A.	None
12.	<u>Additional Non Compliance, if any:</u> No any additional non-compliance observed for all SEBI regulation/ circular/ guidelines note etc.	N.A.	None

Place: Ludhiana
Date: 25.04.2023

For B.K. Gupta & Associates
Company Secretaries



Bhupesh Gupta
(CS Bhupesh Gupta)
FCS No. : 4590
CP No. : 5708
UDIN: - F004590E000184121
Peer review No: - S2003PB540600